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1. Scope

This document provides the architecture for the Presence Access Layer (PAL) Enabler of OMA.

The PAL Enabler provides a series of Interfaces for accessing and making use of Presence Information on behalf of one or more interested individuals (i.e. Logical Observers). Access to Presence Information on behalf of a Logical Observer is based on a contextually aware perspective or view. The presence view/perspective is resolved by the PAL Enabler relative to a Presence Aware Service or Class of Service, and potentially other factors including a requestor identity.

The scope of the PAL architecture document is intended to facilitate the development of specifications for establishing a presence view/perspective, and Interfaces relevant to the access and use of Presence Information. Further, the architecture supports possible reuse by other enablers in order to provide simple and efficient access to Presence Information.
2. References

2.1 Normative References

3GPP

URL: http://www.3gpp.org/ftp/Specs/archive/23_series/23.002/

IETF

[RFC2119] “Key words for use in RFCs to Indicate Requirement Levels”, S. Bradner, March 1997,
URL: http://www.ietf.org/rfc/rfc2119.txt

OMA

[OSE] “OMA Service Environment”, Open Mobile Alliance™,
URL: http://www.openmobilealliance.org/

[PAL_RD] “Presence Access Layer Requirements”, Open Mobile Alliance™, OMA-RD-PAL-V1_0,
URL: http://www.openmobilealliance.org/

[PRS_RD] “Presence SIMPLE Requirements”, Version 2.0, Open Mobile Alliance™, OMA-RD-Presence_SIMPLE-V2_0,
URL: http://www.openmobilealliance.org/

[PUSH_AD] “Push Architecture”, Version 2.2, Open Mobile Alliance™, OMA-AD-Push-V2_2,
URL: http://www.openmobilealliance.org/

[PUSH_CAI] “Push Client – Application Interface”, Version 1.0, Open Mobile Alliance™, OMA-AD-PushCAI-V1_0,
URL: http://www.openmobilealliance.org/

[PUSH_SIP] “Push using SIP”, Version 1.0, Open Mobile Alliance™, OMA-AD-PushCAI-V1_0,
URL: http://www.openmobilealliance.org/

[XDM_AD] “XML Document Management Architecture”, Version 2.0, Open Mobile Alliance™, OMA-AD-XDM-V2_0,
URL: http://www.openmobilealliance.org/

2.2 Informative References

IETF

URL: http://www.ietf.org/rfc/rfc4825.txt

OMA

URL: http://www.openmobilealliance.org/

[PRS_AD] “Presence SIMPLE Architecture”, Version 2.0, Open Mobile Alliance™, OMA-RD-Presence_SIMPLE-V2_0,
URL: http://www.openmobilealliance.org/

[SEC_CF_AD] “Security Common Functions Architecture”, Version 1.1, Open Mobile Alliance™, OMA-AD-SEC_CF-V1_1,
URL: http://www.openmobilealliance.org/

[XDM_RD] “XML Document Management Requirements”, Version 2.0, Open Mobile Alliance™, OMA-RD-XDM-V2_0,
URL: http://www.openmobilealliance.org/
3. Terminology and Conventions

3.1 Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [Error! Reference source not found.].

All sections and appendixes, except “Scope” and “Introduction”, are normative, unless they are explicitly indicated to be informative.

3.2 Definitions

Class of Service          Use definition from [PAL_RD].
Component                Use definition from [OMADICT].
Core Network             Use definition from [3GPP-TS_23.002].
Enabler                  Use definition from [OMADICT].
Functional Component     Use definition from [OMADICT].
Home Subscription Agent  An entity as described in [PRS_AD] section “Home Subscription Agent”.
Interfaces               Use definition from [OMADICT].
Logical Observer        Use definition from [OMADICT].
Notification             Use definition from [PRS_RD].
PAL Administrator       Use definition from [PAL_RD].
PAL Client               Use definition from [PAL_RD].
PAL Initialization       A PAL Service request used to establish a Presence Context.
PAL Presence Parameters Use definition from [PAL_RD].
PAL Profile              Use definition from [PAL_RD].
PAL Rules                Use definition from [PAL_RD].
PAL Service              Use definition from [PAL_RD].
PAL Subscription        Use definition from [PAL_RD].
Presence Aspect          Use definition from [PAL_RD].
Presence Aware Service   Use definition from [PAL_RD].
Presence Context         Use definition from [PAL_RD].
Presence Information    Use definition from [PRS_RD].
Presence Information Element Use definition from [PRS_RD].
Presence Service         Use definition from [PRS_RD].
Presence Trigger         Use definition from [PAL_RD].
Presentity               Use definition from [PRS_RD].
Push Access Protocol     Use definition from [PUSH_AD].
Push Client              Use definition of "Client" from [PUSH_AD].
Push Initiator           Use definition from [PUSH_AD].
Push OTA Protocol        Use definition from [PUSH_AD].
Push Proxy Gateway  Use definition from [PUSH_AD].
Reference Point  Use definition from [OMADICT].
Resource List Server  Use definition from [PRS_AD].
Service Provider  Use definition from [OMADICT].
Service XUI  An XUI as described in [RFC4825] which is valid as a URI, and uniquely identifies a Presence Aware Service or Class of Service.
User  Use definition from [OMADICT].
Watcher  Use definition from [PRS_RD].
Watcher Information  Use definition from [PRS_RD].
Watcher Information Element  Use definition from [PAL_RD].
Watcher Information Subscriber  Use definition from [PRS_RD].
XDM Agent  An XDM entity as described in [XDM_AD], section “XDM Functional Entities, XDM Agent”.

3.3 Abbreviations

3GPP  3rd Generation Partnership Project
AD  Architecture Document
AS  Application Server
CAI  Client Application Interface
CN  Core Network
HSA  Home Subscription Agent
HTTP  HyperText Transfer Protocol
IM  Instant Messaging
IMS  IP Multimedia Subsystem
IP  Internet Protocol
NGSI  Next Generation Service Interfaces
OMA  Open Mobile Alliance
OTA  Over The Air
OTA-SIP  (Push) OTA over SIP
PAL  Presence Access Layer
PAP  Push Access Protocol
PDE  OMA Presence SIMPLE Data Extensions
PPG  Push Proxy Gateway
PRS  Presence SIMPLE
PS  Presence Server
RD  Requirements Document
SIMPLE  SIP for Instant Messaging and Presence Leveraging Extensions
SIP  Session Initiation Protocol
URI  Uniform Resource Identifier
XDM  XML Document Management
<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>XDMC</td>
<td>XML Document Management Client</td>
</tr>
<tr>
<td>XDMS</td>
<td>XML Document Management Server</td>
</tr>
<tr>
<td>XML</td>
<td>eXtensible Markup Language</td>
</tr>
</tbody>
</table>
4. Introduction (Informative)

Presence Aware Services provide service environments with the opportunity to expose enhanced and highly personalised services on behalf of their subscribers. The specification of the Presence Access Layer (PAL) is driven by the requirement to reduce the complexity associated with the access and use of Presence and/or Watcher Information for Presence Aware Services or Class of Services. Further, by specifying common PAL Rules for consolidating and presenting Presence and/or Watcher Information for Logical Observers, PAL reduces complexity, and provides efficient, consistent presence indications.

This architecture document describes the Functional Components, and Interfaces of the OMA Presence Access Layer (PAL) Enabler. The PAL architecture re-uses OMA Presence SIMPLE [PRS_AD], OMA XML Document Management [XDM_AD], and OMA Push [PUSH_AD] in order to fulfill the requirements defined in the [PAL_RD].

The purpose of the PAL architecture is to provide a common callable interface for Presence Aware Services or Class of Services, to retrieve or be notified of corresponding Presence and/or Watcher Information (e.g. PoC Enabler, IM Enabler or NGSI Enabler can retrieve Presence and/or Watcher Information via the PAL Enabler). Presence or Watcher Information is provided by the PAL Enabler through abstractions known as Presence Aspects and/or Presence Triggers. Additionally, the PAL architecture provides a mechanism through which a PAL Service resolves and establishes Presence Context on behalf of a PAL Client. Collectively, these mechanisms provide Presence Aware Services or Class of Services, with a simpler and efficient means with which to incorporate presence awareness as part of their applications, as well as to make adoption by mobile clients quick and easy. Further, PAL Clients achieve minimal coupling to the underlying structure and format of Presence and/or Watcher Information Elements, particularly as those elements evolve and change.

4.1 Version 1.0

The PAL Enabler provides the following functions:

- Accepts and authorizes PAL Service requests;
- Resolves Presence Context on behalf of PAL Clients based on a Presence Aware Service or Class of Service;
- Interacts with other Enablers to retrieve and consolidate required information (e.g. Presence SIMPLE, XML Document Management);
- Maintains and upholds the privacy and security of information requested and mediated by the PAL Service;
- Provides appropriate PAL Service responses in the form of Presence Aspects and/or Presence Triggers; and
- Manages and provisions the PAL Service on behalf of Service Providers.
5. Architectural Model

The PAL Architecture Document conforms to [OSE].

5.1 Dependencies

The PAL Enabler has the following dependencies:

- OMA Presence SIMPLE Enabler;
- OMA XML Document Management Enabler; and
- OMA Push Enabler.

5.1.1 OMA Presence SIMPLE Enabler

The PAL Enabler makes use of the following Interfaces from OMA SIMPLE Presence:

- PRS-3i Interface is exposed by the Presence Server and SHALL be used by a PAL Server as detailed in [PRS_AD] “Description of the Interfaces, PRS-3i Interface”; and
- PRS-4i Interface is exposed by the Resource List Server and SHALL be used by a PAL Server as detailed in [PRS_AD] “Description of the Interfaces, PRS-4i Interface”.

5.1.2 OMA XML Document Management Enabler

The PAL Enabler makes use of the following Interfaces from OMA XML Document Management:

- XDM-4i Interface is exposed by the PAL XDMS and SHALL be used by a PAL Server as described in [XDM_AD] “Description of Interfaces, XDM Interfaces”; and
- XDM-6i Interface is exposed by the PAL XDMS and SHALL be used by a PAL Server as described in [XDM_AD] “Description of Interfaces, XDM Interfaces”.

5.1.3 OMA Push Enabler

The PAL Enabler makes use of the following Interfaces from the OMA Push Enabler:

- Push-PAP Interface is exposed by the Push Proxy Gateway and SHALL be used by a PAL Server as described in [PUSH_AD] “The Push Access Protocol”; and
- Push-CAI Interface is exposed by the Push Enabler and SHALL be used by a PAL Client to register as a Push application, and to receive notification of Push events from a Push Client as described in [PUSH_CAI] “Push Client – Application Interface (Push-CAI)”.

NOTE: The deployment, and configuration of a Push Client operating on behalf of a PAL specific Push application is out of scope of this Enabler.

5.2 Architectural Diagram

Figure 1 illustrates the OMA PAL architecture including interfaces applicable to the PAL Enabler.
5.3 PAL Functional Components and Interfaces

This section describes PAL Functional Components and Interfaces identified in Figure 1.

The PAL Enabler exposes the following interface:

- PAL-1i.
5.3.1 PAL Client

A PAL Client provides access to various features of a PAL Server, as detailed in section 5.3.2.

A PAL Client SHALL support the following functions:

- Requests to establish and receive a Presence Context (i.e. to fulfill underlying functionality of an application associated with a Presence Aware Service or Class of Service);
- Requests to receive Presence Aspects relative to a specified Presence Context;
- Requests to suspend or resume a Presence Context;
- Registers with the Push Enabler as a Push application (i.e. to receive asynchronous notifications); and
- Receives an asynchronous notification as a result of a pre-defined action corresponding to a Presence Trigger being invoked.

5.3.2 PAL Server

A PAL Server establishes Presence Context for an associated Presence Aware Service or Class of Service, in order to provide consolidated Presence and/or Watcher Information (i.e. in the form of Presence Aspects) to authorized PAL Clients.

A PAL Server SHALL support the following functions:

- Authorizes requesting PAL Clients;
- Establishes or terminates a Presence Context associated with a Presence Aware Service or Class of Service, upon request;
- Subscribes to receive Presence and/or Watcher Information for a Presentity, as a Watcher or Watcher Information Subscriber;
- Functions as a Home Subscription Agent (e.g. to limit the number of subscriptions to a Presence Server);
- Functions as a Push Initiator (i.e. to transmit asynchronous notifications);
- Manipulates (i.e. creates, retrieves, updates, and deletes) PAL Profiles as an XDM Agent;
- Subscribes to receive notification of changes to PAL Profiles, as an XDM Agent;
- Receives a Presence Aspect request; evaluates and applies PAL Policy to support the consolidation of Presence and/or Watcher Information (i.e. in the form of Presence Aspects) for a Presence Aware Service or Class of Service, and returns the result i.e. Presence Aspect values to a requesting PAL Client;
- Monitors, and detects Presence Aspect value changes associated with an applicable Presence Context, and executes predefined actions corresponding to a Presence Trigger e.g. notifies a PAL Client of such a Presence Aspect value change; and
- Suspends or resumes a Presence Context (i.e. suspend or resume asynchronous notifications of Presence Triggers applicable to a given Presence Context).

5.3.3 PAL XDMS

The PAL XDMS conforms to the specification for enabler specific XDMSs provided in [XDM_AD], section “Enabler Specific XDMS”. The PAL XDMS manages and supports the following XDM Documents:

- PAL Profile XDM Documents.

The PAL XDMS SHALL support the following functions:
• Manages PAL Profile XDM Documents (e.g. PAL Profiles) which are specific to the use of a PAL Server;
• Enables subscriptions to changes to PAL Profile XDM Documents stored in the PAL XDMS; and
• Notifies subscribers of changes to the PAL Profile XDM Documents stored in the PAL XDMS.

5.3.4 PAL-1i Interface

The PAL-1i Interface is exposed by a PAL Server, and supports the following functions on behalf of authorized principals, such as PAL Clients.

The PAL-1i Interface SHALL support PAL Initialization requests to enable a PAL Server to establish and resolve a Presence Context on behalf of authorized PAL Clients. The PAL Server processes a PAL Initialization request based on information provided (i.e. a Service XUI), and returns a unique identifier associated with the resolved Presence Context and an optional set of PAL Presence Parameters, or an error response.

The PAL-1i Interface SHALL support Presence Aspect requests to retrieve consolidated views of Presence and/or Watcher Information, in the form of Presence Aspect values from a PAL Server. A PAL Server processes a Presence Aspect request based on information provided (i.e. a Presence Context identifier, along with one or more Presence Aspects to be evaluated), and returns to the PAL Client; either Presence Aspect values corresponding to Presentities of interest, or an error response.

The PAL-1i Interface SHALL support a request to suspend or resume a Presence Context. For example a PAL Client suspends the receipt of Presence Aspects for a given Presence Context. A PAL Server processes a suspend/resume request based on information provided, i.e. a Presence Context identifier, and parameter information relating to the suspend/resume operation. A PAL Server then processes the requested operation, and based on the current state of the Presence Context, i.e. a request to suspend a Presence Context may be ignored if the given Presence Context is already in a suspended state. An appropriate suspend/resume response is returned to a PAL Client.

5.3.5 PAL Enabler Reference Points

The following sub-section provides details of PAL Enabler specific Reference Points. Descriptions of Reference Points reused from other Enablers are not within the scope of this architecture document, and are therefore omitted.

5.3.5.1 Reference Point PAL-1: PAL Client – PAL Server

The PAL-1 Reference Point supports communication between the PAL Client and PAL Server.

The PAL-1 Reference Point consists of the PAL-1i Interface.

5.3.6 PAL deployment - 3GPP IMS network

Figure 2, below, illustrates the PAL Enabler deployed within a 3GPP IMS network realization:
The PAL Enabler deployed within an IMS network segment utilizes the following IMS Reference Points as defined in [3GPP-TS_23.002] section “IM Subsystem Reference Points” and [PUSH_SIP] section “SIP Push Functional Components and Interfaces”.

NOTE: Figure 2 assumes a PAL Client/Server running in an IMS Core Network utilizing SIP or HTTP protocol bindings and OMA Push Enabler (e.g. PUSH/SIP Client for PUSH-OTA over SIP protocol bindings).

The following table provides a mapping of 3GPP IMS CN Reference Points to OMA PAL Enabler Reference Points:

<table>
<thead>
<tr>
<th>IMS Reference Point</th>
<th>OMA PAL Enabler Reference Point</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ut</td>
<td>PAL-1 (i.e. UE based PAL Clients supporting HTTP protocol bindings)</td>
</tr>
<tr>
<td>Gm</td>
<td>PAL-1 (i.e. UE based PAL Clients supporting SIP protocol bindings)</td>
</tr>
<tr>
<td>ISC</td>
<td>PAL-1 (i.e. PAL Functional Components supporting SIP protocol bindings)</td>
</tr>
</tbody>
</table>

5.4 Security Considerations

Security mechanisms may be employed by PAL Enabler deployments in order to prevent denial-of-service attacks and provide integrity of a PAL Service. These mechanisms may include:

- Mutual authentication of PAL Clients and PAL Server; and
- Authorization of PAL Clients.

Suitable authentication, authorization, message integrity, and confidentiality protection mechanisms may be used by PAL Enabler deployments, as described in [SEC_CF_AD].
Appendix A. Change History (Informative)

A.1 Approved Version 1.0 History

<table>
<thead>
<tr>
<th>Reference</th>
<th>Date</th>
<th>Description</th>
</tr>
</thead>
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<tr>
<td>OMA-AD-PAL-V1_0-20120320-A</td>
<td>20 Mar 2012</td>
<td>Status changed to Approved by TP: OMA-TP-2012-0117-INP_PAL_V1_0_ERP_for_Final_Approval</td>
</tr>
</tbody>
</table>
Appendix B. Flows (informative)

The following subsections describe high-level logical information flows between Functional Components of the PAL architecture. These flows illustrate how Functional Components within the PAL architecture support various features and requirements of the PAL Enabler.

B.1 PAL Presence Context Establishment

The PAL Client for User Alice makes use of specific Presence Information in the form of Presence Aspects, for a Presence Aware Service (e.g. the MIMO IM Service). Presence Aspects are provided to a PAL Client relative to a given Presence Context. Presence Context includes PAL Presence Parameters. Presence Context specifies a presence environment and is required in order for a PAL Client to make use of Presence Information. A Presence Context is resolved by the PAL Server based on a Presence Aware Service or Class of Service as outlined in Figure 3 below:

1. A PAL Client that wishes to receive Presence Aspects, issues a PAL Initialization request to the PAL Server with the following information:
   a. A Service XUI corresponding to a Presence Aware Service or Class of Service
   b. The public user identity of the corresponding PAL Client (e.g. a SIP URI corresponding with user Alice)
   c. An optional resource URI corresponding to the Presentity (e.g. a SIP URI)

2. Upon receipt of a PAL Initialization request, a PAL Server authorizes the request and resolves an appropriate PAL Presence Context based on a service identifier and optionally watcher-id and/or resource URI.

3. Once a Presence Context has been established, a PAL Server returns a PAL initialization response to a PAL Client, including a unique Presence Context identifier, and optional meta-data (e.g. a baseline Presence Aspect value).

4. A PAL Client stores the Presence Context identifier (and optional meta-data). Alice’s IM Service is now able to request and make use of Presence Aspects relative to the established Presence Context.

Figure 3: Establishing Presence Context based on a Presence Aware Service
B.2 Requesting specific Presence Information.

A PAL Client within Alice’s mobile device, has stored and resolved a Presence Context for a Presence Aware Service ‘MyFriendlyChat’ from the PAL Server running within Alice’s home network domain. Alice’s MyFriendlyChat application may now request and make use of specific Presence Information through a PAL Client. Presence Aspects provide a PAL Client with a view of Presence Information based on an associated Presence Context, corresponding with one or more Presentities. Figure 4 below, provides an outline of a PAL Client retrieving a Presence Aspect, given an established Presence Context:

1. A PAL Client wishing to make use of Presence Aspects sends a PAL Presence Aspect request to the PAL Server with the following information:
   a. A PAL Presence Context identifier corresponding to a particular Presence Aware Service or Class of Service;
   b. A resource URI corresponding to the Presentity (e.g. a SIP URI); and,
   c. The Presence Aspect being requested (e.g. ‘contactable’).
2. Upon receipt of a PAL Presence Aspect request, the PAL Server authorizes the request and proceeds to evaluate and/or retrieve the requested Presence Aspect associated with the Presence Context.
3. Once a Presence Context has been computed, the PAL Server issues a PAL Presence Aspect response to the PAL Client which includes the Presence Context identifier, and the Presence Aspect value.
4. The PAL Client stores the Presence Aspect corresponding to the given Presence Context which may then be provided to a Presence Aware Service such as ‘MyFriendlyChat’.

Figure 4: Requesting a Presence Aspect relative to a Presence Context
B.3 Presence Trigger Predefined Action

A PAL Client within Anthony’s mobile device wishes to receive alerts relating to detected status changes from his daughter Josephine, who is currently away from home attending college. Anthony’s mobile device requests and stores a Presence Context for the ‘Parental Alert’ Presence Aware Service from a PAL Server running within his home network domain. As a result of this request, one or more Presence Triggers are established on behalf of Anthony for individuals of interest (e.g. his daughter Josephine) based on the resolved Presence Context. A Presence Trigger executes a predefined action as a result of a detected Presence Aspect change. Predefined actions may include sending an interested observer, such as Anthony, a notification providing details of the detected Presence Aspect change. Figure 5 below, provides an outline of a PAL Client establishing a Presence Context, and later receiving a detected change to a specified Presence Aspect value for an individual of interest based on the established Presence Context:

5. A PAL Server monitoring Presence Aspects corresponding with a given Presence Context detects a Presence Aspect change associated with a specific Presence Trigger (e.g. ‘onAvailable’) for Presentities of interest. As a result, the predefined Presence Trigger action fires.

6. This results in a notification being sent to the PAL Client. This notification may include the following information:
   a. A PAL Presence Context identifier corresponding to a particular Presence Aware Service or Class of Service;
   b. A resource URI corresponding to the Presentity (e.g. a SIP URI); and,
   c. The detected Presence Aspect value (e.g. Josephine is now considered ‘available’).
7. The PAL Client stores the detected Presence Aspect value change corresponding to the given Presence Context which may then be relayed to a Presence Aware Service such as 'Parental Alert'.