



## **OMA TLS Profile**

Candidate Version 1.0 – 06 May 2008

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**Open Mobile Alliance**

OMA-TS-TLS-V1\_0-20080506-C

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# 1. Scope

This specification defines an OMA profile of TLS related specifications specified in IETF, [RFC2246], [RFC4346], [RFC4279], [RFC4366], [RFC3268], etc. The TLS related specifications are often used in OMA Enabler Specifications and the current TLS specification (v1.1) includes several optional features for the enabler specifications to choose from. Existence of several options in the TLS specification may lead to interoperability problems in some implementations of TLS in OMA Enablers. In addition, requiring implementations of some options in the TLS protocol for OMA enablers can increase the level of security compared to only implementing the mandatory features in the TLS specifications. OMA Workgroups developing enabler specifications are recommended to use the OMA Profile of TLS.

This version of OMA TLS Profile replaces the previous WAP<sup>TM</sup> Profile of TLS “WAP<sup>TM</sup> TLS Profile and Tunneling” [WAP-219-TLS].

## 2. References

### 2.1 Normative References

- [CertProf] "Certificate and CRL Profiles", Open Mobile Alliance™, OMA-Security-CertProf-V1\_1, URL: <http://www.openmobilealliance.org/>
- [RFC793] "Transmission Control Protocol", IETF RFC 793, J. Postel, September 1981, URL: <http://www.ietf.org/rfc/rfc793.txt>
- [RFC2119] "Key words for use in RFCs to Indicate Requirement Levels", IETF RFC 2119, S. Bradner, March 1997, URL: <http://www.ietf.org/rfc/rfc2119.txt>
- [RFC2234] "Augmented BNF for Syntax Specifications: ABNF", IETF RFC 2234, D. Crocker, Ed., P. Overell, November 1997, URL: <http://www.ietf.org/rfc/rfc2234.txt>
- [RFC2246] "Transport Layer Security (TLS) Version 1.0", T. Dierks, E. Rescorla, IETF RFC 2246, Jan 1999, URL: <http://www.ietf.org/rfc/rfc2246.txt>
- [RFC2459] "Internet X.509 Public Key Infrastructure Certificate and CRL Profile," IETF RFC 2459, R. Housley, W. Ford, W. Polk, D. Solo, January 1999, URL: <http://www.ietf.org/rfc/rfc2459.txt>
- [RFC2616] "Hypertext Transfer Protocol -- HTTP/1.1", IETF RFC 2616, R. Fielding, J. Gettys, et al, June 1999, URL: <http://www.ietf.org/rfc/rfc2616.txt>
- [RFC2817] "Upgrading to TLS Within HTTP/1.1," IETF RFC 2817, R. Khare, S. Lawrence, May 2000, URL: <http://www.ietf.org/rfc/rfc2817.txt>
- [RFC3268] "Advanced Encryption Standard (AES) Ciphersuites for Transport Layer Security (TLS)", IETF RFC 3268, June 2002, URL: <http://www.ietf.org/rfc/rfc3268.txt>
- [RFC4279] "Pre-Shared Key Ciphersuites for Transport Layer Security (TLS)", IETF RFC 4279, P. Eronen, et al, December 2005, URL: <http://www.ietf.org/rfc/rfc4279.txt>
- [RFC4346] "Transport Layer Security (TLS) Version 1.1", T. Dierks, E. Rescorla, IETF RFC 4346, April 2006, URL: <http://www.ietf.org/rfc/rfc4346.txt>
- [RFC4366] "Transport Layer Security (TLS) Extensions", S. Blake-Wilson, M. Nystrom, D. Hopwood, J. Mikkelsen, T. Wright, IETF RFC 4366, April 2006, URL: <http://www.ietf.org/rfc/rfc4366.txt>
- [SCRRULES] "SCR Rules and Procedures", Open Mobile Alliance™, OMA-ORG-SCR\_Rules\_and\_Procedures, URL: <http://www.openmobilealliance.org/>
- [SEC\_CERT\_MO] "OMA SEC\_CF Device Management (DM) Management Objects ", Open Mobile Alliance™, OMA-DDS-SEC\_CERT\_MO-V1\_0, URL: <http://www.openmobilealliance.org/>
- [WAP-219-TLS] "WAP TLS Profile and Tunneling Specification ", WAP Forum™, WAP-219-TLS-20010411-a, URL: <http://www.openmobilealliance.org/>

### 2.2 Informative References

- [OCSP\_MP] "Online Certificate Status Protocol Mobile Profile", Version 1.0, Open Mobile Alliance™, OMA-WAP-OCSP\_MP-V1\_0, URL: <http://www.openmobilealliance.org/>
- [SEC\_CF AD] "Security Common Functions Architecture", Version 1.0, Open Mobile Alliance™, OMA-AD-SEC\_CF-V1\_0, URL: <http://www.openmobilealliance.org/>

## 3. Terminology and Conventions

### 3.1 Conventions

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in [RFC2119].

All sections and appendixes, except “Scope” and “Introduction”, are normative, unless they are explicitly indicated to be informative.

### 3.2 Definitions

None

### 3.3 Abbreviations

<b>DDF</b>	Data Description Format
<b>DM</b>	Device Management
<b>MO</b>	Management Object
<b>OCSP_MP</b>	OMA Online Certificate Status Protocol Mobile Profile
<b>OMA</b>	Open Mobile Alliance
<b>PSK-TLS</b>	Pre-Shared Key TLS
<b>SEC_CF</b>	Security Common Function
<b>TLS</b>	Transport Layer Security

## 4. Introduction

TLS (Transport Layer Security) related specifications [RFC2246], [RFC4346], [RFC4279], [RFC4366], [RFC3268], etc., provide a secure and reliable transport mechanism between two communicating parties. It provides confidentiality and integrity protection for the transport used. It can also provide unilateral or mutual authentication depending on the implementations. TLS works in a client-server model, where the initiator is called the Client and the responder is called the Server. In most cases, a TLS client can authenticate a TLS server using a public key certificate. Mutual authentication is also possible using public key certificates or shared secrets (using PSK-TLS).

TLS can be used to secure other protocols that run above the transport layer such as HTTP [RFC2616]. Current TLS specifications require a reliable transport protocol such as TCP [RFC793] in order to operate.

This specification aims to provide a common implementation of the TLS related specifications that can be used by all the OMA Enablers including the Security Common Functions specifications [SEC\_CF AD]. The intention is to create a secure and interoperable TLS implementation that can be re-used without the need to define the requirements for TLS implementations separately in each OMA Enabler specifications.

Developers of OMA Enablers who wish to use TLS as a transport layer security mechanism is recommended to use this specification to define the requirements for their TLS implementations. Alternatively, developers can refer to the common security functions specifications which in turn include this specification.

This specification also defines how TLS tunnelling [RFC2817] via HTTP proxies is profiled for OMA Enablers.

**Editor's Note: TLS1.1 is meant in general, for TLS.**

## 5. OMA TLS Profile

OMA TLS Profile is based on the TLS 1.1 related specifications, [RFC2246], [RFC4346], [RFC4279], [RFC4366], [RFC3268], etc. All OMA TLS Profile compliant implementations MUST also conform to TLS specifications. This specification profiles a particular implementation of TLS 1.1 and other relevant specifications that can be used with TLS 1.1 such as PSK-TLS. PSK-TLS implementations must conform to PSK-TLS [RFC4279] specifications.

Normative text included in this section MUST be considered as additions to the existing baseline TLS and related specifications. All terminology used in this specification MUST be taken in the context of TLS 1.1 and related specifications.

### 5.1 Supported Cipher Suites for TLS

The Server MUST support all of the following cipher suites:

- TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA
- TLS\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA
- TLS\_RSA\_WITH\_NULL\_SHA

The Client MUST support all of the following cipher suites:

- TLS\_RSA\_WITH\_AES\_128\_CBC\_SHA
- TLS\_RSA\_WITH\_NULL\_SHA

The Client SHOULD support the following cipher suite:

- TLS\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA

Any other suites SHOULD NOT be supported.

### 5.2 Supported Cipher Suites for PSK-TLS

If PSK-TLS is supported then the cipher suites in [RFC4279] below MUST be fulfilled.

The Server MUST support all of the following cipher suites:

- TLS\_PSK\_WITH\_AES\_128\_CBC\_SHA
- TLS\_PSK\_WITH\_3DES\_EDE\_CBC\_SHA

The Client MUST support at least one of the following cipher suites.

- TLS\_PSK\_WITH\_AES\_128\_CBC\_SHA
- TLS\_PSK\_WITH\_3DES\_EDE\_CBC\_SHA

### 5.3 Session Resume

The client and the server MUST support the session resume as defined in TLS. The longer session life (e.g., 12 hours) SHOULD be used. The guidelines on the session resume as documented in TLS SHOULD be respected.



## 5.4 Server Authentication

The client and server MUST support server authentication using TLS. The client MUST support processing of X.509 server certificates as detailed in "WAP Certificate and CRL Profile" [CertProf]. The client implementations MUST conform to the guidelines for server identity as documented in [RFC 2818] (Section 3.1).

Furthermore, the client SHOULD use the guidelines for handling X.509 server certificates including the unknown attributes and extensions as described in "WAP Certificate and CRL Profiles Specification" [CertProf].

The server SHOULD use the WAP profiled X.509 server certificate [CertProf], and MAY use the X.509 server certificate [RFC2459].

Please note that if PSK-TLS is supported, then mutual authentication between the client and the server can be achieved using shared keys.

## 5.5 Client Authentication

The server SHOULD support client authentication. If client authentication is supported, the server MUST support the client certificates in the form of the WAP profiled X.509 client certificate [CertProf] and the X.509 client certificate [RFC2459]. The server MUST also include the RSA certificate type (i.e., `rsa_sign`) in the certificate request [RFC4346] for client certificates, and support verification of the RSA client certificate and signature.

The client MAY support client authentication. If the client authentication is supported, the client MUST support use of the WAP profiled X.509 client certificate and SHOULD support use of the X.509 certificate [RFC2459]. The client MUST support RSA client certificate and signature. CA should issue the WAP profiled X.509 client certificates [CertProf].

Please note that if PSK-TLS is supported, then mutual authentication between the client and the server is achieved using shared keys if the shared key is only shared by the two end points.

## 5.6 TLS Tunneling

A HTTP proxy [RFC 2616] MAY be used between a client and a server using the TLS protocol. In order to maintain the end to end security at the transport layer while using a proxy, TLS tunneling MUST be used between the client and the origin server. The client MUST support TLS tunneling if it supports the HTTP proxy functionality. To establish a TLS tunnel, the client MUST use HTTP CONNECT method as defined in [RFC2817].

Furthermore, the client MUST only establish the tunnel over a raw TCP connection, not an "upgraded" connection per [RFC2817]. The HTTP proxy server should support the HTTP CONNECT method in the manner as defined in [RFC 2817].

It SHOULD be noted that a chain of HTTP proxy servers, including proxy servers that do not support HTTP CONNECT method, may be involved for a desired TLS tunnel, the client SHOULD not assume that a TLS tunnel can always be successfully established. The client MUST abort the attempt to establish a TLS tunnel if a non-successful response for an HTTP CONNECT request is received.

## 5.7 TLS Extensions for Wireless Networks

In wireless environment, there may be many constraints, including bandwidth limitations, computational power limitations, memory limitations, and battery life limitations. Wireless environments often suffer from such above constraints not commonly present in wired environments. Therefore, TLS may not work as effectively in wireless environment as in wireline environment. Fortunately, TLS extensions [RFC4366] are designed to enable TLS to operate as effectively as possible in wireless environments.

[RFC4366] provides both generic extension mechanisms for the TLS handshake client and server hellos, and specific extensions using these generic mechanisms.

General extension mechanisms for the TLS handshake client hello and server hello messages:

- In extended client hello message, the new field "client\_hello\_extension\_list" contains a list of extensions.

- In server hello message, the new field "server\_hello\_extension\_list" contains a list of extensions.

Specific extensions in extended TLS handshake client and server hello messages SHOULD include:

- Server Name: It may be desirable for clients to provide this information to facilitate secure connections to servers that host multiple 'virtual' servers at a single underlying network address.
- Maximum Fragment Length Negotiation: It may be desirable for constrained clients to negotiate a smaller maximum fragment length due to memory limitations or bandwidth limitations.
- Client Certificate URLs: It may be desirable for constrained clients to send certificate URLs in place of certificates, so that they do not need to store their certificates and can therefore save memory.
- Trusted CA Indication: Constrained clients that, due to memory limitations, possess only a small number of CA root keys, may wish to indicate to servers which root keys they possess, in order to avoid repeated handshake failures.
- Truncated HMAC: It may be desirable in constrained environments to save bandwidth by truncating the output of the hash function to 80 bits when forming MAC tags.
- Certificate Status Request: Constrained clients may wish to use a certificate-status protocol such as [OCSP] to check the validity of server certificates, in order to avoid transmission of CRLs and therefore save bandwidth on constrained networks. This extension allows for such information to be sent in the TLS handshake, saving roundtrips and resources.

## Appendix A. Change History (Informative)

### A.1 Approved Version History

Reference	Date	Description
n/a	n/a	No prior version

### A.2 Draft/Candidate Version 1.0 History

Document Identifier	Date	Sections	Description
Draft Versions OMA- TS-TLS-V1_0	17 Oct 2005	All Sections	Initial document to address the basic starting point
	22 Aug 2006	1, 2, 3, A2	Updates to the references and template
	15 Nov 2006	5, Appendix B	Corrections to ciphersuites
	07 Jun 2007	All Sections	Adding profile of TLS Extension for Wireless Network
	09 Nov 2007	All Sections	Cleanup for consistency review
	12 Dec 2007	All	Editorial clean-up of formatting and styles prior to consistency review.
	01 Apr 2008	All	Incorporated Agreed CR: OMA-SEC-2008-0035R01-CR_SEC_CF_TS_TLS_editorial.
Candidate Versions OMA- TS-TLS-V1_0	06 May 2008	All	Status changed to Candidate by TP TP ref# OMA-TP-2008-0173- INP_SEC_CF_V1_0_ERP_for_Candidate_Approval

## Appendix B. Static Conformance Requirements (Normative)

The notation used in this appendix is specified in [SCRRULES].

### B.1 SCR for TLS Client

Item	Function	Reference	Requirement
TLS-C-001-M	OMA TLS implementations conform to [RFC4346]	Section 5.	
TLS-C-002-M	OMA PSK-TLS implementations conform to [RFC4279]	Section 5.	
TLS-C-003-M	Support for RSA-based cipher suites	Section 5.1	TLS-C-004-M AND TLS-C-005-M AND TLS-C-006-O
TLS-C-004-M	TLS_RSA_WITH_AES_128_CBC_SHA	Section 5.1	
TLS-C-005-M	TLS_RSA_WITH_NULL_SHA	Section 5.1	
TLS-C-006-O	TLS_RSA_WITH_3DES_EDE_CBC_SHA	Section 5.1	
TLS-C-007-M	Support for PSK based ciphersuites defined If PSK-TLS is supported.	Section 5.2	TLS-C-008-M OR TLS-C-009-O
TLS-C-008-M	TLS_PSK_WITH_AES_128_CBC_SHA	Section 5.2	
TLS-C-009-O	TLS_PSK_WITH_3DES_EDE_CBC_SHA	Section 5.2	
TLS-C-010-M	Server Authentication	Section 5.4	TLS-C-014-M
TLS-C-011-O	Client Authentication	Section 5.5	TLS-C-014-M
TLS-C-012-M	Session Resume	Section 5.3	
TLS-C-013-M	TLS Tunneling	Section 5.6	TLS-C-017-M AND TLS-C-018-M AND TLS-C-019-M AND TLS-C-018-M
TLS-C-014-M	Certificate Processing according to WAP Certificate and CRL Profile [CertProf]	Section 5.4	TLS-C-015-O AND TLS-C-016-M
TLS-C-015-O	Certificate Processing according to X.509 [RFC2459]	Section 5.4	
TLS-C-016-M	Support RSA client certificate and signature	Section 5.4	

Item	Function	Reference	Requirement
TLS-C-017-M	Establish the tunnel over the raw TCP connection	Section 5.6	
TLS-C-018-M	Use HTTP CONNECT to establish a TLS tunnel [RFC2817]	Section 5.6	
TLS-C-019-M	Abort the attempt to establish a TLS tunnel if a non-successful response for an HTTP CONNECT request is received	Section 5.6	

## B.2 SCR for TLS Server

Item	Function	Reference	Requirement
TLS-S-001-M	OMA TLS implementations conform to [RFC4346]	Section 5.	
TLS-S-002-M	OMA PSK-TLS implementations conform to [RFC4279]	Section 5.	
TLS-S-003-M	Support for RSA-based cipher suites	Section 5.1	TLS-S-004-M AND TLS-S-005-M
TLS-S-004-M	TLS_RSA_WITH_AES_128_CBC_SHA	Section 5.1	
TLS-S-005-M	TLS_RSA_WITH_3DES_EDE_CBC_SHA	Section 5.1	
TLS-S-006-M	Support for PSK based ciphersuites defined if PSK-TLS is supported.	Section 5.2	TLS-S-007-M AND TLS-S-008-M
TLS-S-007-M	TLS_PSK_WITH_AES_128_CBC_SHA	Section 5.2	
TLS-S-008-M	TLS_PSK_WITH_3DES_EDE_CBC_SHA	Section 5.2	
TLS-S-009-M	Server Authentication	Section 5.4	TLS-S-013-M
TLS-S-010-O	Client Authentication	Section 5.5	TLS-S-013-M
TLS-S-011-M	Session Resume	Section 5.3	
TLS-S-012-M	TLS Tunneling	Section 5.6	TLS-S-016-M AND TLS-S-017-M AND TLS-S-018-M
TLS-S-013-M	Certificate Processing according to WAP Certificate and CRL Profile [CertProf]	Section 5.4	TLS-S-014-O AND TLS-S-015-M

Item	Function	Reference	Requirement
TLS-S-014-O	Certificate Processing according to X.509 [RFC2459]	Section 5.4	
TLS-S-015-M	Support RSA client certificate and signature	Section 5.4	
TLS-C-016-M	Establish the tunnel over the raw TCP connection	Section 5.6	
TLS-C-017-M	Use HTTP CONNECT to establish a TLS tunnel [RFC2817]	Section 5.6	
TLS-C-018-M	Abort the attempt to establish a TLS tunnel if a non-successful response for an HTTP CONNECT request is received	Section 5.6	